

## PATENT COOPERATION TREATY

PCT

## NOTIFICATION OF ELECTION

(PCT Rule 61.2)

From the INTERNATIONAL BUREAU

To:

Commissioner  
 US Department of Commerce  
 United States Patent and Trademark  
 Office, PCT  
 2011 South Clark Place Room  
 CP2/5C24  
 Arlington, VA 22202  
 ETATS-UNIS D'AMERIQUE  
 in its capacity as elected Office

|   |   |
|---|---|
| <b>Date of mailing (day/month/year)</b><br>22 June 2001 (22.06.01)                |   |
| <b>International application No.</b><br>PCT/GB00/03552                            | <b>Applicant's or agent's file reference</b><br>IT/PW/N10352          |
| <b>International filing date (day/month/year)</b><br>15 September 2000 (15.09.00) | <b>Priority date (day/month/year)</b><br>24 September 1999 (24.09.99) |
| <b>Applicant</b><br>FAN, Zhongyun et al   |   |

1. The designated Office is hereby notified of its election made:

☒ in the demand filed with the International Preliminary Examining Authority on:

02 April 2001 (02.04.01)

☐ in a notice effecting later election filed with the International Bureau on:2. The election ☒ was☐ was not

made before the expiration of 19 months from the priority date or, where Rule 32 applies, within the time limit under Rule 32.2(b).

|  |   |
|--|---|
| <b>The International Bureau of WIPO</b><br>34, chemin des Colombettes<br>1211 Geneva 20, Switzerland<br><br>Facsimile No.: (41-22) 740.14.35 | <b>Authorized officer</b><br><br>Zakaria EL KHODARY<br><br>Telephone No.: (41-22) 338.83.38 |
|--|---|

# PATENT COOPERATION TREATY

## PCT

### INTERNATIONAL SEARCH REPORT

(PCT Article 18 and Rules 43 and 44)

|  |   |  |
|--|---|--|
| Applicant's or agent's file reference<br><b>SPG/P36131W0</b> | <b>FOR FURTHER ACTION</b> see Notification of Transmittal of International Search Report (Form PCT/ISA/220) as well as, where applicable, item 5 below. |  |
| International application No.<br><b>PCT/GB 00/ 03547</b>     | International filing date (day/month/year)<br><b>15/09/2000</b>   | (Earliest) Priority Date (day/month/year)<br><b>16/09/1999</b> |
| Applicant<br><b>FTL SEALS TECHNOLOGY LIMITED et al.</b>      |   |  |

This International Search Report has been prepared by this International Searching Authority and is transmitted to the applicant according to Article 18. A copy is being transmitted to the International Bureau.

This International Search Report consists of a total of 3 sheets.

☒ It is also accompanied by a copy of each prior art document cited in this report.

#### 1. Basis of the report

a. With regard to the **language**, the international search was carried out on the basis of the international application in the language in which it was filed, unless otherwise indicated under this item.

☐ the international search was carried out on the basis of a translation of the international application furnished to this Authority (Rule 23.1(b)).

b. With regard to any **nucleotide and/or amino acid sequence** disclosed in the international application, the international search was carried out on the basis of the sequence listing :

☐ contained in the international application in written form.

☐ filed together with the international application in computer readable form.

☐ furnished subsequently to this Authority in written form.

☐ furnished subsequently to this Authority in computer readable form.

☐ the statement that the subsequently furnished written sequence listing does not go beyond the disclosure in the international application as filed has been furnished.

☐ the statement that the information recorded in computer readable form is identical to the written sequence listing has been furnished

2. ☐ **Certain claims were found unsearchable** (See Box I).

3. ☐ **Unity of invention is lacking** (see Box II).

4. With regard to the **title**,

☒ the text is approved as submitted by the applicant.

☐ the text has been established by this Authority to read as follows:

5. With regard to the **abstract**,

☐ the text is approved as submitted by the applicant.

☒ the text has been established, according to Rule 38.2(b), by this Authority as it appears in Box III. The applicant may, within one month from the date of mailing of this international search report, submit comments to this Authority.

6. The figure of the **drawings** to be published with the abstract is Figure No.

☒ as suggested by the applicant.

☐ because the applicant failed to suggest a figure.

☐ because this figure better characterizes the invention.

3

☐ None of the figures.

# INTERNATIONAL SEARCH REPORT

International application No.

PCT/GB 00/ 03547

## Box III TEXT OF THE ABSTRACT (Continuation of it m 5 of the first sheet)

The abstract is modified as follows:

line 3: after "lips" insert "(9,10)";  
line 4: after "ring" insert "(8)", and after "face" "(13,14);  
line 5: after "means" insert "(15,16)".

## INTERNATIONAL SEARCH REPORT

International Application No

PCT/GB 00/03547

## A. CLASSIFICATION OF SUBJECT MATTER

IPC 7 F16J15/12 F16L41/04

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 F16J F16L

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

| Category * | Citation of document, with indication, where appropriate, of the relevant passages   | Relevant to claim No.           |
|------------|--|---------------------------------|
| X          | FR 1 549 562 A (CEA)<br>13 December 1968 (1968-12-13)<br><br>page 2, left-hand column, line 48 - line 60; figure 2<br>---            | 1, 2, 5-8,<br>13, 18,<br>19, 25 |
| X          | GB 1 214 986 A (BAL)<br>9 December 1970 (1970-12-09)<br>cited in the application<br>page 1, line 55 - page 2, line 23; figure<br>--- | 1, 2                            |
| A          | US 5 040 828 A (KANE)<br>20 August 1991 (1991-08-20)<br>column 2, line 47 - line 64; figures 2, 3<br>-----                           | 1, 21, 22,<br>26                |

☐

Further documents are listed in the continuation of box C.

☒

Patent family members are listed in annex.

## \* Special categories of cited documents:

- \*A\* document defining the general state of the art which is not considered to be of particular relevance
- \*E\* earlier document but published on or after the international filing date
- \*L\* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- \*O\* document referring to an oral disclosure, use, exhibition or other means
- \*P\* document published prior to the international filing date but later than the priority date claimed

\*T\* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

\*X\* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

\*Y\* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.

\*&\* document member of the same patent family

Date of the actual completion of the international search

29 November 2000

Date of mailing of the international search report

06/12/2000

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2  
NL - 2280 HV Rijswijk  
Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,  
Fax: (+31-70) 340-3016

Authorized officer

Narminio, A

# INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/GB 00/03547

| Patent document<br>cited in search report |   | Publication<br>date | Patent family<br>member(s) | Publication<br>date |
|---|---|---------------------|----------------------------|---------------------|
| FR 1549562                                | A | 13-12-1968          | NONE                       |                     |
| GB 1214986                                | A | 09-12-1970          | NONE                       |                     |
| US 5040828                                | A | 20-08-1991          | NONE                       |                     |

## PCT

## INTERNATIONAL SEARCH REPORT

(PCT Article 18 and Rules 43 and 44)

|  |   |  |
|--|---|--|
| Applicant's or agent's file reference<br><b>IT/PW/N10352</b> | <b>FOR FURTHER ACTION</b> see Notification of Transmittal of International Search Report (Form PCT/ISA/220) as well as, where applicable, item 5 below. |  |
| International application No.<br><b>PCT/GB 00/ 03552</b>     | International filing date (day/month/year)<br><b>15/09/2000</b>   | (Earliest) Priority Date (day/month/year)<br><b>24/09/1999</b> |
| Applicant<br><br><b>BRUNEL UNIVERSITY et al.</b>             |   |  |

This International Search Report has been prepared by this International Searching Authority and is transmitted to the applicant according to Article 18. A copy is being transmitted to the International Bureau.

This International Search Report consists of a total of 4 sheets.



It is also accompanied by a copy of each prior art document cited in this report.

## 1. Basis of the report

- a. With regard to the **language**, the international search was carried out on the basis of the international application in the language in which it was filed, unless otherwise indicated under this item.



the international search was carried out on the basis of a translation of the international application furnished to this Authority (Rule 23.1(b)).

- b. With regard to any **nucleotide and/or amino acid sequence** disclosed in the international application, the international search was carried out on the basis of the sequence listing :



contained in the international application in written form.



filed together with the international application in computer readable form.



furnished subsequently to this Authority in written form.



furnished subsequently to this Authority in computer readable form.



the statement that the subsequently furnished written sequence listing does not go beyond the disclosure in the international application as filed has been furnished.



the statement that the information recorded in computer readable form is identical to the written sequence listing has been furnished

2. ☐ **Certain claims were found unsearchable** (See Box I).

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the text has been established, according to Rule 38.2(b), by this Authority as it appears in Box III. The applicant may, within one month from the date of mailing of this international search report, submit comments to this Authority.

6. The figure of the **drawings** to be published with the abstract is Figure No.

as suggested by the applicant.



because the applicant failed to suggest a figure.



because this figure better characterizes the invention.

1



None of the figures.

# INTERNATIONAL SEARCH REPORT

International application No.

PCT/GB 00/ 03552

**B x III TEXT OF THE ABSTRACT (Continuation of item 5 of the first sheet)**

Line 3: after "cavity" insert "(51)" and after "feeder" insert "(20)"

Line 4: after "extruder" insert "(30)" and after "assembly" insert "(40)"

# INTERNATIONAL SEARCH REPORT

International Application No

PCT/GB 00/03552

## A. CLASSIFICATION OF SUBJECT MATTER

IPC 7 B22D17/00 B22D17/18 B22D17/20

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 B22D

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

WPI Data, PAJ, EPO-Internal

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

| Category * | Citation of document, with indication, where appropriate, of the relevant passages   | Relevant to claim No. |
|------------|--|-----------------------|
| X          | PATENT ABSTRACTS OF JAPAN<br>vol. 014, no. 171 (C-0706),<br>3 April 1990 (1990-04-03)<br>& JP 02 023833 A (JAPAN STEEL WORKS<br>LTD:THE), 26 January 1990 (1990-01-26)<br>abstract | 1-3, 12,<br>20        |
| Y          | US 4 694 881 A (BUSK ROBERT S)<br>22 September 1987 (1987-09-22)<br>cited in the application<br>claims 1-3, 5, 10  | 1-3, 5-7,<br>12, 20   |
| Y          | GB 2 276 831 A (CASTINGS TECHNOLOGY INT)<br>12 October 1994 (1994-10-12)<br>page 1, paragraphs 1, 2; claims 1, 9<br>-/--   | 1-3, 5-7,<br>12, 20   |

☒ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

\* Special categories of cited documents:

- \*A\* document defining the general state of the art which is not considered to be of particular relevance
- \*E\* earlier document but published on or after the international filing date
- \*L\* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- \*O\* document referring to an oral disclosure, use, exhibition or other means
- \*P\* document published prior to the international filing date but later than the priority date claimed

- \*T\* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
- \*X\* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
- \*Y\* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.
- \*G\* document member of the same patent family

Date of the actual completion of the international search

28 November 2000

Date of mailing of the international search report

06/12/2000

Name and mailing address of the ISA

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Fax: (+31-70) 340-3016

Authorized officer

Kesten, W



## INTERNATIONAL SEARCH REPORT

International Application No  
PCT/GB 00/03552

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

| Category * | Citation of document, with indication, where appropriate, of the relevant passages                                      | Relevant to claim No. |
|------------|---|-----------------------|
| A          | US 5 685 357 A (KATO MASASHI ET AL)<br>11 November 1997 (1997-11-11)<br>cited in the application<br>claims 1,2,5<br>--- | 1,5,6                 |
| A          | US 5 711 366 A (MIHELICH JOHN ET AL)<br>27 January 1998 (1998-01-27)<br>cited in the application<br>claims 1-3<br>---   | 5,8-10,<br>12,14      |
| A          | WO 97 21509 A (THIXOMAT INC)<br>19 June 1997 (1997-06-19)<br>cited in the application<br>claims 1-6<br>-----            | 1,4                   |

## INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/GB 00/03552

| Patent document<br>cited in search report |   | Publication<br>date | Patent family<br>member(s)  | Publication<br>date  |
|---|---|---------------------|---|--|
| JP 02023833                               | A | 26-01-1990          | NONE  |  |
| US 4694881                                | A | 22-09-1987          | AT 27185 T<br>AU 540156 B<br>AU 1100983 A<br>BR 8208005 A<br>CA 1199181 A<br>DE 3276332 D<br>DK 334183 A, B,<br>EP 0080786 A<br>ES 517803 D<br>ES 8402026 A<br>HK 8089 A<br>NO 832744 A<br>NO 160589 B<br>NZ 202614 A<br>WO 8301962 A<br>ZA 8208730 A | 15-05-1987<br>01-11-1984<br>17-06-1983<br>18-10-1983<br>14-01-1986<br>19-06-1987<br>20-07-1983<br>08-06-1983<br>16-12-1983<br>01-04-1984<br>03-02-1989<br>28-07-1983<br>23-01-1989<br>20-03-1985<br>09-06-1983<br>25-07-1984 |
| GB 2276831                                | A | 12-10-1994          | AU 6507094 A<br>WO 9423930 A  | 08-11-1994<br>27-10-1994   |
| US 5685357                                | A | 11-11-1997          | JP 3013226 B<br>JP 8033967 A  | 28-02-2000<br>06-02-1996   |
| US 5711366                                | A | 27-01-1998          | AU 3234797 A<br>BR 9709631 A<br>CA 2256709 A<br>EP 0925131 A<br>NO 985570 A<br>WO 9745218 A<br>US 5819839 A   | 05-01-1998<br>11-01-2000<br>04-12-1997<br>30-06-1999<br>26-01-1999<br>04-12-1997<br>13-10-1998   |
| WO 9721509                                | A | 19-06-1997          | AU 1287597 A<br>EP 0859677 A  | 03-07-1997<br>26-08-1998   |

## PATENT COOPERATION TREATY

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## INTERNATIONAL PRELIMINARY EXAMINATION REPORT

(PCT Article 36 and Rule 70)

|   |  |   |
|---|--|---|
| Applicant's or agent's file reference<br>IT/PW/N10352                                     | <b>FOR FURTHER ACTION</b>                                | See Notification of Transmittal of International Preliminary Examination Report (Form PCT/IPEA/416) |
| International application No.<br>PCT/GB00/03552   | International filing date (day/month/year)<br>15/09/2000 | Priority date (day/month/year)<br>24/09/1999  |
| International Patent Classification (IPC) or national classification and IPC<br>B22D17/00 |  |   |
| Applicant<br>BRUNEL UNIVERSITY et al.   |  |   |

1. This international preliminary examination report has been prepared by this International Preliminary Examining Authority and is transmitted to the applicant according to Article 36.



2. This REPORT consists of a total of 5 sheets, including this cover sheet.

- ☐ This report is also accompanied by ANNEXES, i.e. sheets of the description, claims and/or drawings which have been amended and are the basis for this report and/or sheets containing rectifications made before this Authority (see Rule 70.16 and Section 607 of the Administrative Instructions under the PCT).

These annexes consist of a total of sheets.

3. This report contains indications relating to the following items:

- I ☒ Basis of the report
- II ☐ Priority
- III ☐ Non-establishment of opinion with regard to novelty, inventive step and industrial applicability
- IV ☐ Lack of unity of invention
- V ☒ Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement
- VI ☐ Certain documents cited
- VII ☐ Certain defects in the international application
- VIII ☒ Certain observations on the international application

|   |   |
|---|---|
| Date of submission of the demand<br><br>02/04/2001  | Date of completion of this report<br><br>28.12.2001   |
| Name and mailing address of the international preliminary examining authority:<br> European Patent Office<br>D-80298 Munich<br>Tel. +49 89 2399 - 0 Tx: 523656 epmu d<br>Fax: +49 89 2399 - 4465 | Authorized officer<br><br>Lombois, T<br><br>Telephone No. +49 89 2399 7444<br> |

# INTERNATIONAL PRELIMINARY EXAMINATION REPORT

International application No. PCT/GB00/03552

## I. Basis of the report

1. With regard to the **elements** of the international application (*Replacement sheets which have been furnished to the receiving Office in response to an invitation under Article 14 are referred to in this report as "originally filed" and are not annexed to this report since they do not contain amendments (Rules 70.16 and 70.17)*):

### Description, pages:

1-15 as originally filed

### Claims, No.:

1-20 as originally filed

### Drawings, sheets:

1/7-7/7 as originally filed

2. With regard to the **language**, all the elements marked above were available or furnished to this Authority in the language in which the international application was filed, unless otherwise indicated under this item.

These elements were available or furnished to this Authority in the following language: , which is:

- ☐ the language of a translation furnished for the purposes of the international search (under Rule 23.1(b)).
- ☐ the language of publication of the international application (under Rule 48.3(b)).
- ☐ the language of a translation furnished for the purposes of international preliminary examination (under Rule 55.2 and/or 55.3).

3. With regard to any **nucleotide and/or amino acid sequence** disclosed in the international application, the international preliminary examination was carried out on the basis of the sequence listing:

- ☐ contained in the international application in written form.
- ☐ filed together with the international application in computer readable form.
- ☐ furnished subsequently to this Authority in written form.
- ☐ furnished subsequently to this Authority in computer readable form.
- ☐ The statement that the subsequently furnished written sequence listing does not go beyond the disclosure in the international application as filed has been furnished.
- ☐ The statement that the information recorded in computer readable form is identical to the written sequence listing has been furnished.

4. The amendments have resulted in the cancellation of:

- ☐ the description, pages:
- ☐ the claims, Nos.:

# INTERNATIONAL PRELIMINARY EXAMINATION REPORT

International application No. PCT/GB00/03552

☐ the drawings, sheets:

5. ☐ This report has been established as if (some of) the amendments had not been made, since they have been considered to go beyond the disclosure as filed (Rule 70.2(c)):

*(Any replacement sheet containing such amendments must be referred to under item 1 and annexed to this report.)*

6. Additional observations, if necessary:

## V. Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement

### 1. Statement

|                               |      |        |      |
|-------------------------------|------|--------|------|
| Novelty (N)                   | Yes: | Claims | 1-20 |
|                               | No:  | Claims |      |
| Inventive step (IS)           | Yes: | Claims |      |
|                               | No:  | Claims | 1-20 |
| Industrial applicability (IA) | Yes: | Claims | 1-20 |
|                               | No:  | Claims |      |

### 2. Citations and explanations see separate sheet

## VIII. Certain observations on the international application

The following observations on the clarity of the claims, description, and drawings or on the question whether the claims are fully supported by the description, are made:  
see separate sheet

**Re Item V**

**Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement**

Reference is made to the following documents:

- E1: WO 97 21509 A (R.D.Carnahan, THIXOMAT INC) 19.06.1997
  - E2: US-A-4 694 881 (R.S.BUSK, The DOW Chemical Co) 22.09.1987
  - E3: WO 95 34393 A (Wang et al., CORNELL Research Found. Inc.) 21.12.1995
  - E4: US-A-5 711 366 (J.MIHELICH et al., THIXOMAT inc.) 27.01.1998
- (all documents are cited in the application)

It is alleged (cf p.4, I.10-13) that the primary objective of the alleged invention is to provide an apparatus and a method which converts LIQUID ALLOY into its thixotropic state and fabricates high integrity components by injecting subsequently the thixotropic alloy into a mould cavity in an integrated one-step process. The alleged invention is opposed to prior art thixomoulding solutions (cf p.3, I.9-15 and patents cited on p.3, I.4-7) in that it proceed by cooling liquid alloy rather than heating solid matter which supposedly provides for a better control of the particle size as well as the particle size repartition of the thixotropic slurry which in turn benefits to the structural properties of the cast components.

However, four documents all cited in the application (E1-E4) also deal with (*claim 1* of the application -*cited expressis verbis in italics*- is read in view of the prior art) *a method for forming a shape component from liquid metal alloy comprising the steps of:*

- *cooling the alloy to a temperature below its liquidus temperature whilst applying shear at a sufficient high shear rate and intensity of turbulence to convert the alloy into its thixotropic state, and*
- *subsequently transferring the alloy into a mould to form a shaped component.*

The subject-matter of claim 1 differs from any of this documents taken alone in that:

- *shear is applied to the alloy by means of an extruder having at least two screws which are at least partially intermeshed.*

It cannot be seen which advantage is derivable from the substitution of a shear applying means by another one (namely substitution of a single screw extruder by a twin screw extruder), specially when said shear applying means is defined only by the result to be

achieved (cf also point VIII) i.e. apply shear so as to convert the alloy into its thixotropic state.

**The subject-matter of claim 1 thus lacks an inventive step having regard to any of the documents E1-E4 taken alone (Art. 33(3) PCT).**

This applies obviously to the method of forming a semisolid slurry according to claim 20.

**Dependent method claims 2-6** do not contain any features which, in combination with the features of any claim to which they refer, meet the requirements of the PCT in respect of inventive step.

The independent apparatus claim 7 is also not more explicit having regard with the advantage of a twin screw extruder and therefore the above argumentation applies by analogy to the apparatus claims 7-19.

**Claims 7-19 lack inventive step (Art. 33(3) PCT).**

#### **Re Item VIII**

##### **Certain observations on the international application**

Some of the features in the method claims 1 and 20 relate to an apparatus ("shear is applied by means of an extruder having at least two screws which are at least partially intermeshed") rather than clearly defining the method in terms of its method step. The intended limitations are therefore not clear from this claim, contrary to the requirements of Article 6 PCT.

Moreover, the shear rate and intensity being only defined by the result to be achieved in very general terms ("sufficiently high shear rate and intensity of turbulence to convert the alloy into its thixotropic state") it would not appear that any kind of shearing means would provide a particular effect compared with the one known from the prior art.

(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property Organization  
International Bureau



(43) International Publication Date  
29 March 2001 (29.03.2001)

PCT

(10) International Publication Number  
**WO 01/21343 A1**

(51) International Patent Classification<sup>7</sup>: B22D 17/00,  
17/18, 17/20

(21) International Application Number: PCT/GB00/03552

(22) International Filing Date:  
15 September 2000 (15.09.2000)

(25) Filing Language: English

(26) Publication Language: English

(30) Priority Data:  
9922695.3 24 September 1999 (24.09.1999) GB

(71) Applicant (for all designated States except US): BRUNEL  
UNIVERSITY [GB/GB]; Uxbridge, Middlesex UB8 3PH  
(GB).

(72) Inventors; and

(75) Inventors/Applicants (for US only): FAN, Zhongyun  
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3PH (GB). JI, Shouxun [CN/GB]; Wolfson Centre for  
Material Processing, Brunel University, Uxbridge, Mid-  
dlesex UB8 3PH (GB).

(74) Agent: TOLLETT, Ian; Williams, Powell & Associates,  
4 St Paul's Churchyard, London EC4M 8AY (GB).

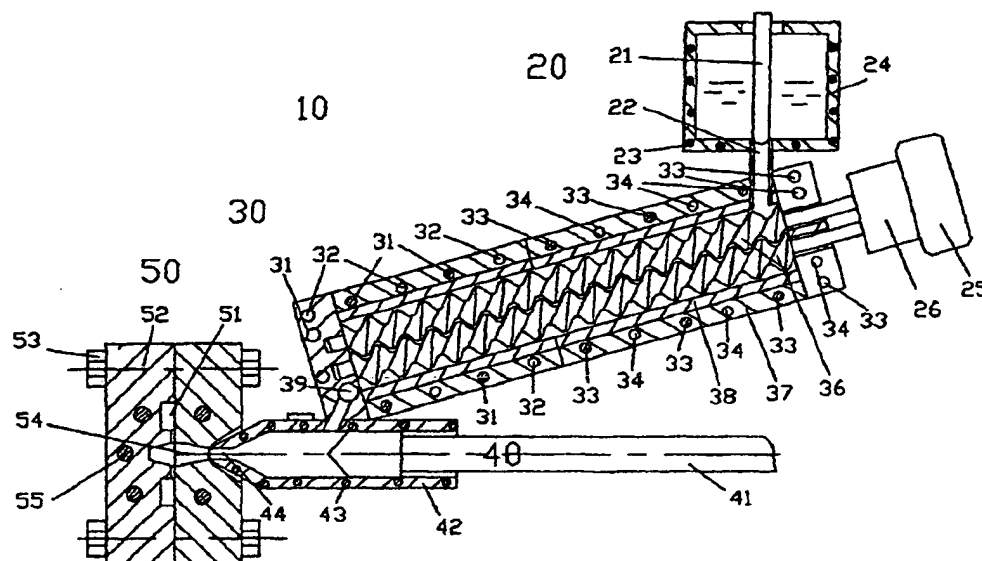
(81) Designated States (national): AE, AG, AL, AM, AT, AU,  
AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CR, CU, CZ,  
DE, DK, DM, DZ, EE, ES, FI, GB, GD, GE, GH, GM, HR,  
HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR,  
LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ,  
NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM,  
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(54) Title: METHOD AND APPARATUS FOR PRODUCING SEMISOLID METAL SLURRIES AND SHAPED COMPONENTS



(57) Abstract: A method and apparatus for converting liquid alloy into its thixotropic state and for fabricating high integrity components by injecting subsequently the thixotropic alloy into a die cavity (51). The apparatus includes a liquid metal feeder (20), a high shear twin-screw extruder (30), a shot assembly (40) and a central control system. The apparatus and method can offer net-shaped components characterised by close to zero porosity, fine and equiaxed particles with a uniform distribution in the eutectic matrix, and a large range of solid volume fractions.

WO 01/21343 A1



**WO 01/21343 A1**



*For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.*

## **Method and Apparatus for Producing Semisolid Metal Slurries and Shaped Components**

This invention relates to an apparatus and method for forming a shaped component from liquid metal alloy. In particular, it relates to a method and apparatus for converting liquid alloy into semisolid slurry which is injected subsequently into a die cavity to produce shaped components. The apparatus and method are applicable to light alloys, such as aluminium alloy, magnesium alloy, zinc alloy and any other alloy suitable for semisolid processing.

One of the conventional methods used to manufacture metallic components is die casting. In the conventional die casting process, the liquid metal is usually forced into a mould cavity at such a high speed that the flow becomes turbulent or even atomised. As a result, air is often trapped within the cavity, leading to high porosity in the final components, which reduces the component strength and can cause component rejection if holes appear on the surface after machining. Moreover, components with high porosity are unacceptable because they are usually not heat-treatable, thus limiting their potential applications.

Intuitively, the porosity due to turbulent or atomised flow could be reduced or even eliminated if the viscosity of the metal flow could be increased to reduce the Reynolds number sufficiently so trapped air is minimised, somewhat similar to the injecting moulding of plastics. However, it was not clear how this could be achieved until the early 1970s when Metz and Flemings proposed the concept of semisolid material (SSM) processing. They suggested that, if metal solidification is carried out in the semisolid state, the porosity of castings could be reduced significantly. The study of Spencer et al showed that when molten metal is agitated during cooling below its liquidus temperature, the dendritic primary solid would be broken into near spherical particles suspended in the liquid metal matrix. The exponentially increased viscosity with the solid fraction of such a semisolid slurry can produce sound castings with die casting process. The SSM process

improves upon the die casting method by injecting semisolid metal rather than fully liquid metal into a die cavity for component production. Compared with conventional die casting routes, SSM processing has the following advantages: (1) cost effectiveness over the whole manufacturing cycle; (2) near-net shape processing; (3) consistency and soundness of mechanical properties; (4) ability to make complex component shapes; (5) weight reduction through alloy substitution and more efficient use of materials; (6) high production rate; (7) enhanced die life; (8) less environmental cost. The enhanced mechanical properties result from the improved microstructural features, such as refined grain size, non-dendritic morphology and substantially reduced porosity level.

Although the concept of SSM processing seems promising, the major problem remains as how the slurry is produced and how the component is shaped efficiently and reliably.

Since the early 1970s, a number of alternatives to the original MIT rheocasting process have been developed. One of the most popular processes currently used is thixoforming, in which pre-processed nondendritic alloy billet are reheated to the semisolid region prior to the shaping process. It is therefore a two-stage process. The high cost of pre-processed non-dendritic raw materials and of the re-heating process are by far the greatest obstacles to the development of the full potential of this approach. In addition, plastic injection moulding techniques have recently been introduced into the SSM processing field. One process is "thixomoulding" for Mg-alloys, which was developed by Dow Chemicals and currently marketed by Thixomat, the other one was developed at Cornell University (USA). However, the quality of both semisolid slurries and final components is not totally satisfactory.

During the last 20 years, the most active method of producing semisolid slurry is mechanical agitation. Unfortunately, most mechanical stirring methods have not gained popularity in industry because of the problems associated with erosion of the stirring device, problems with synchronisation of the stirring with the continuous casting process, and the inadequate shear rate to obtain fine particles.

A number of references disclose thixomoulding processes, in which a solid or semisolid feed is first processed (for example by heating the feed to liquefy it whilst subjecting it to shear) and then injected into a mould to form a component. Examples of such references include: EP 0867246 A1 (Mazda Motor Corporation); WO 90/09251 (The Dow  
5 Chemical Company); US 5,711,366 (Thixomat, Inc.); US 5,735,333 (The Japan Steel Works, Limited); US 5,685,357 (The Japan Steel Works, Limited); US 4,694,882 (The Dow Chemical Company); and CA 2,164,759 (Inventronics Limited).

The disadvantage however with heating solid granules in order to convert them into the  
10 thixotropic state (thixomoulding) rather than cooling liquid metal into the thixotropic state (rheomoulding) is that it is very difficult to control particle size and particle size distribution in the sub-structure of the thixotropic slurry. Specifically, particle sizes of thixomoulded slurries tend to be an order of magnitude larger than those of rheomoulded slurries, and to have a wider sized distribution. This has negative implications for the  
15 structural properties of the casted components.

Furthermore, the above-mentioned references employ a standard single screw extruder for subjecting the thixotropic slurry to shear. The result is a component of low quality.

20 A number of references do disclose rheomoulding processes. For example, WO 97/21509 (Thixomat, Inc.) relates to a process for forming metal compositions in which an alloy is heated to a temperature above its liquidus temperature, and then employing a single screw extruder to shear the liquid metal as it is cooled into the region of two phase equilibrium.

25 US 4,694,881 (The Down Chemical Company) relates to a process in which a material having a non-thixotropic-type structure is fed in solid form into a single screw extruder. The material is heated to a temperature above its liquidus temperature, and then cooled to a temperature lower than its liquidus temperature and greater than its solidus temperature  
30 whilst being subjected to a shearing action.

WO 95/34393 (Cornell Research Foundation, Inc.) also discloses a rheomoulding process in which super-heated liquid metal is cooled into a semisolid state in the barrel of a single screw extruder, where it is subjected to shear whilst being cooled, prior to being  
5 injection moulded into a cast.

None of the thixomoulding or rheomoulding references describe a process which enables components of a sufficiently high structural integrity to be formed.

10 The primary objective of this invention is to provide an apparatus and method which converts liquid alloy into its thixotropic state and fabricates high integrity components by injecting subsequently the thixotropic alloy into a mould cavity in an integrated one-step process.

15 Another objective of the invention is to provide an apparatus and method which is specially adapted for producing semisolid metal alloys with a highly corrosive and erosive nature in their liquid or semisolid state.

Still another objective of the invention is to provide an improved die casting system  
20 suitable for production of high integrity components from semisolid slurry.

In a first aspect of the invention, there is provided a method for forming a shaped component from liquid metal alloy, comprising the steps of cooling the alloy to a temperature below its liquidus temperature whilst applying shear at a sufficiently high  
25 shear rate and intensity of turbulence to convert the alloy into its thixotropic state, and subsequently transferring the alloy into a mould to form a shaped component, wherein shear is applied to the alloy by means of an extruder having at least two screws which are at least partially intermeshed.

In a second aspect of the present invention, there is provided a method of forming a semisolid slurry from a liquid metal alloy, comprising the steps of cooling the alloy below its liquidus temperature whilst applying shear at a sufficiently high shear rate and intensity of turbulence to convert the alloy into its thixotropic state, wherein shear is  
5 applied to the alloy by means of an extruder having at least two screws which are at least partially intermeshed.

The realisation of the present invention is that a shaped component of a particularly high quality can be formed by employing at least two screws to apply shear to the alloy, the  
10 screws being at least partially intermeshing.

Preferably, the extruder is a twin-screw extruder in which the twin screws are substantially fully intermeshed.

15 The use of single screw extruders are well known in the art, but the use of a twin screw extruder in a process such as this is thought to be novel. Each screw generally has a shaft which is aligned with the barrel of the extruder, and a series of flights or vanes disposed along the shaft. These flights or vanes may be connected in a spiral or helical manner to form a continuous thread down the shaft. The form may be varied depending  
20 on the desired effect.

The at least two screws should be at least partially intermeshed. By this it is meant that the flights or vanes on one screw should at least partially overlap with the flights or vanes on the other screw with respect to the longitudinal axis of movement of the alloy  
25 through the extruder. Thus, in a preferred embodiment, two screws each having a continuous spiralled vane down the screw shaft are disposed such that the vanes overlap along the "line of sight" of the longitudinal axis of the shafts, which are aligned with the longitudinal axis of the extruder barrel.

In a third aspect of the invention, there is provided apparatus for forming a shaped component from liquid metal alloy, comprising a temperature-controlled extruder able to impart sufficient shear and intensity of turbulence to a liquid metal alloy to convert it into its thixotropic state, a shot assembly in fluid communication with the extruder, and a  
5 mould in fluid communication with the shot assembly, wherein the extruder has at least two screws which are at least partially intermeshed.

In the fourth aspect of the invention, there is provided an improved die casting system suitable for production of high integrity components from semisolid slurry, comprising a  
10 temperature-controlled extruder able to impart sufficient shear rate and intensity of turbulence in fluid communication with the extruder, and a mould in fluid communication with the shot assembly.

In the inventive process the steps of melting the alloy, converting the alloy into its  
15 thixotropic state and injecting the thixotropic alloy into a die cavity are preferably carried out at physically separated functional units. The inventive apparatus preferably consists of a liquid metal feeder, a high shear twin-screw extruder, a shot assembly and a central control system. The rheomoulding process starts from feeding the liquid metal from the melting furnace into a twin-screw extruder. The liquid metal is rapidly cooled to the  
20 SSM processing temperature in the first part of the extruder while being mechanically sheared by twin-screws, converting the liquid alloy into a semisolid slurry with a pre-determined volume fraction of the solid phase dictated by accurate temperature control. The semisolid slurry is then injected at a high velocity into a mould cavity through the shot assembly. The fully solidified component is finally released from the mould. All  
25 these procedures are performed in a continuous cycle and controlled by a central control system.

The said method can offer semisolid slurries with fine and uniform solid particles and with a large range of solid volume fractions (5% to 95%, preferably 15% to 85%). The

said apparatus and method can also offer net-shaped metallic components with the porosity being close to zero. The said method preferably comprises the steps of:

- 5 (a) providing said alloy in the liquid state and pouring said liquid alloy to a temperature-controlled extruder through a feeder;
- (b) converting said liquid alloy to its thixotropic state by the high shear rate offered by an extruder with at least two at least partially intermeshed screws.
- (c) transferring said thixotropic alloy from the extruder into a shot sleeve by opening a control valve located at one end of the extruder; and
- 10 (d) injecting said thixotropic slurry from the shot sleeve into a mould cavity by advancing a piston at sufficient speed.

Generally, the feeder is used to supply liquid alloy at the desired temperature to the extruder. The feeder can be a melting furnace or a ladle and a connecting tube. The  
15 feeding hose can be controlled by a valve located in the connecting tube, or a positive or negative pressure controller.

Generally, the twin-screw extruder, consisting of a barrel, a pair of at least partially screws and a driving system, is adapted to receive liquid metal through an inlet located  
20 generally toward one end of the extruder. Once in the passageway of the extruder, liquid alloy is either cooled or maintained at a predetermined temperature. In either situation, the processing temperature is above the material solidus temperature and below its liquidus temperature so that the alloy is in the semisolid state in the extruder.

25 The processing temperature, which as stated depends upon the liquidus and solidus temperatures of the alloy, will vary from alloy to alloy. The appropriate temperature will be apparent to one skilled in the art. As an example, for the alloy Al-7wt%Si-0.5%Mg (that is aluminium with 7wt% silicon and 0.5wt% w/w magnesium), the alloy should be poured into the extruder at a temperature of from 650°C to 750°C, and should  
30 be processed in the extruder at a temperature of from 560°C to 610°C.



In the extruder, the alloy is subjected to shearing. The shear rate is such that it is sufficient to prevent the complete formation of dendritic shaped solid particles in the semisolid state. The shearing action is induced by a pair of co-rotating screws located within the barrel and is further invigorated by helical screw flights formed on the body of the screws. Enhanced shearing is generated in the annular space between the barrel and the screw flights and between the flights of two screws.

The fluid flow of the liquid alloy or semisolid slurry in the twin screw extruder is characterised by figure "8" motions around the periphery of the screws, which moves from one pitch to the next one, forming a figure "8" shaped helix and pushing the fluid along the axial direction of the screws. This is referred as the positive displacement pumping action. In this continuous flow field, the fluid undergoes cyclic stretching, folding and reorienting processes with respect to the streamlines during the take-over of the materials from one screw to the other one. Meanwhile, fluid flow in the closely intermeshing twin-screw extruder is the circular flow pattern on the axial section, which could create high intensity of turbulence for low viscosity liquid metals and/or semi-solid metals. In addition, the fluid in the extruder is subjected to a cyclic variation of shear rate due to the continuous change in the gap between the screw and the barrel, which causes the material in the extruder to undergo a shear deformation with cyclic variation of shear rate. Therefore, the fluid flow in a closely intermeshing, self-wiping and co-rotating twin-screw extruder is characterised by high shear rate, high intensity of turbulence and cyclic variation of shear rate.

Unlike the viscous drag-induced type flow of materials transported in a single screw extruder, such as employed in prior art processes, the transport behaviour in a closely intermeshing twin-screw extruder is to a large extent a positive displacement type of transport, being more or less independent of the viscosity of the materials. The velocity profiles of materials in a twin-screw extruder are quite complex and more difficult to describe. There are basically four groups of forces. The first group relates to the scales of inertia forces and centrifugal forces; the second group concerns the scale of gravity force; the

third comprises the scale of internal friction and the fourth group refers to the scales of elastic and plastic deformation behaviour of the materials being processed. The principal forces acting on the liquid or semi-solid alloys during the rheomoulding process between two screws and between screw and barrel are compression, rupture, shear and elasticity.

5

It has been found that shear rates of  $5000-10,000\text{s}^{-1}$  can be achieved with a twin screw extruder, which results in greatly improved results. However, if the intensity of turbulence is sufficiently high, these improved results can be achieved with shear rates of perhaps  $400\text{s}^{-1}$ .

- 10 The interior environment of the twin-screw extruder is characterised by high wear, high temperature and complex stresses. The high wear is a result of the close fit between the barrel and the screws as well as between the screws themselves. Therefore, a suitable material for the barrel and screws and other components must exhibit good resistance to wear, high temperature creep and thermal fatigue. The interior environment of the
- 15 extruder is also highly corrosive and erosive. This is caused by the high reactivity of liquid or semisolid metals such as aluminium which can dissolve and/or erode most metallic materials. After intensive tests and evaluation, the present invention has developed a novel machine construction which allows highly corrosive and erosive materials, such as aluminium magnesium, copper and zinc alloys to be conditioned into
- 20 their thixotropic state without any significant degradation of the machine itself.

The barrel of the twin-screw extruder is constructed with an outer layer of a creep resistant first material which is lined by an inner layer of a corrosion and erosion resistant second material. Preferably, the outer layer material is H11, H13 or H21 steel

25 and the inner layer material is sialon. Bonding of the inner layer and outer layer is achieved by either shrink fitting or with a buffer layer between the two. The barrel of the extruder can also be constructed with a single piece of sialon, which is more convenient for a small machine.

The twin-screw is positioned within the passageway of the extruder. The rotation of the screws subjects the molten alloy to high shear and translates the material through the barrel of the extruder. The screw is constructed with sialon components that are mechanically or physically bonded together to gain maximum resistance to creep, wear, thermal fatigue, corrosion and erosion. Additional components of the extruder, including the outlet pipe, outlet valve body and valve core, are also constructed from sialon. The twin-screw extruder is driven by either an electrical motor or hydraulic motor through a gearbox to maintain the desired rotation speed.

10 The shot sleeve can be either closely connected with one end of the extruder or separately positioned in the shot assembly to receive the semisolid slurry from the extruder. The semisolid slurry in the shot sleeve can be injected at high speed to a mould cavity by moving a piston through the cylinder.

15 A number of preferred embodiments of the invention are described in detail below with reference to the drawings, in which:

Fig 1 is a schematic illustration of an embodiment of an apparatus for converting liquid alloys into a thixotropic slurry and for producing high integrity components according to the principles of the present invention;

Fig 2 is a schematic cross-sectional view of the twin-screw barrel according to the principles of the present invention;

25 Fig 3 is a sectional illustration of a screw constructed according to the principles of the present invention;

Fig 4 is a schematic illustration of sectional flow of semisolid slurry in a twin-screw extruder;

Fig 5 is a schematic illustration of axial flow of semisolid slurry in a twin-screw extruder;

Fig 6 shows the microstructures of rheomoulded Mg-30wt. % Zn alloys of different volume fractions; and

Fig 7 is a photograph of a rheomoulded casting formed according to the present invention.

10 In the description of the preferred embodiment which follows, a die casting is produced by a twin-screw rheomoulding machine from aluminium (Al) alloy ingot. The invention is not limited to Al alloys and is equally applicable to any other types of alloys, such as magnesium alloys, zinc alloys and any other alloy suitable for semisolid metal processing. Furthermore, specific temperatures and temperature ranges cited in the description of the preferred embodiment are only applicable to Al-alloys, but could be readily modified in accordance with the principles of the invention by those skilled in the art in order to accommodate other alloys.

Fig 1 illustrates a twin-screw rheomoulding system 10 according to an embodiment of this invention. The system 10 has four sections: a feeder 20, a twin-screw extruder 30, a shot assembly 40 and a mould clamping unit 50. A liquid alloy is supplied to the feeder 20. The feeder 20 is provided with a plunger 21, a socket 22 and a series of heating elements 23 disposed around the outer periphery of the crucible 24. The heating elements 23 may be of any conventional type and operates to maintain the feeder 20 at a temperature high enough to keep the alloy supplied through the feeder 20 in the liquid state. For Al-alloys, this temperature would be over 600°C. The liquid alloy is subsequently fed into the twin-screw extruder 30 by way of gravity when the plunger 21 is optionally raised.

The extruder 30 has a plurality of heating elements 31, 33 and cooling elements 32, 34 dispersed along the length of the extruder 30. The matched heating elements 31, 33 and cooling channels 32, 34 form a series of heating and cooling zones respectively. The heating and cooling zones maintain the extruder at the desired temperature, for semisolid processing. For a rheomoulding system 10 designed for Al-alloys, heating elements 33 and cooling channels 34 would maintain the top part of the extruder at a temperature of about 585°C; and heating elements 31 and cooling channels 32 would maintain the bottom part of the extruder at a temperature of about 590°C. The heating and cooling zones also make it possible to maintain a complex temperature profile along the extruder axis, which may be necessary to achieve certain microstructural effects during semisolid processing. The temperature control of each individual zone is achieved by balancing the heating and cooling power inputs by a central control system. The methods of heating can be resistance heating, induction heating or any other means of heating. The cooling media may be water, gas or mist depending on the processing requirement. While only two heating/cooling zones are shown in Fig 1, the extruder 30 can be equipped with from 1 to 10 separately controllable heating/cooling zones.

The extruder 30 also has a physical slope or an inclination. The inclination is usually from 0 to 90° and preferably from 20 to 90° relative to the shot direction. The inclination is designed to assist the transfer of semisolid alloy from the extruder 30 to the shot sleeve 42.

The extruder 30 is also provided with twin-screw 36 which is driven by an electric motor or hydraulic motor 25 through a gear box 26. The twin-screw 36 is designed to provide high shear rate which is necessary to achieve fine and uniformly distributed solid particles. Different types of screw profiles may of course be used. In addition, any device which offers high shear mixing and positive displacement pumping actions may also be used to replace the twin-screw.

- The thixotropic alloy exits the extruder 30 into a shot assembly 40 through a valve 39. The valve 39 operates in response to a signal from the central control system. The optional opening of valve 39 should match the process requirements. Injection of the thixotropic alloy is made by a piston 41 positioned in the shot sleeve 42 through hole 44 into a mould cavity 51. The position and velocity of piston 41 are adjustable to suit the requirement by different processes, materials and final components. Generally, the shot speed should be high enough to provide enough fluidity for complete mould filling, but not too high to cause air entrapment.
- As shown in Fig 1, heating element 43 is also provided along the length of the shot sleeve 42. In the preferred embodiment of the rheomoulding system for processing Al-alloys, the shot sleeve is preferably maintained at a temperature close to the extruder temperature to maintain the alloy in its predetermined semisolid state.
- The mould clamp 50 is used to form mould cavity 51. Therefore, it preferably consists of two half dies 52, fasten elements 53, the running system 54 and the heating elements 55 to keep the dies at a required temperature.
- Fig. 2 is a schematic sectional illustration of the barrel as used in the preferred embodiments, which consists of an outer steel shell 37 and a sialon liner 38. The sialon liner 38 can be shrink fitted into the outer shell 37 by the different coefficients during thermal expansion. The temperature for shrink fitting the cold sialon liner 38 into the heated steel shell is chosen in such a way that a tight fit between the barrel and its liner is achieved at the processing temperature to guarantee efficiency of heat transfer. The sialon is chosen here as the barrel liner to provides good wear, corrosion and erosion resistance, while retaining the necessary strength and toughness at the processing temperature. For barrels of small size, a one piece (integral) sialon construction may be utilised.

Fig. 3 is a sectional illustration of a screw constructed according to the principles of the present invention. The screw 36 for the rheomoulding system 10 can be fabricated as a mechanical assembly of sialon screw sections with proper profiles. Components 46, 48 with the desired profile are assembled together and then installed onto a shaft 47 with the required alignment. Preferably, a tight assembly with a small tolerance is employed. For small size screws, a monolithic sialon screw could be utilised.

Fig. 4 and 5 respectively illustrate the sectional and axial fluid flow in a twin screw extruder according to the present invention.

10

Fig. 6 illustrates a microstructure of one semisolid alloy of Mg-30wt. %Zn produced by said apparatus. Specifically, the photograph illustrates the microstructure of an alloy having 40% solid fraction, which confirms that the inventive rheomoulding process is capable of producing semisolid with fine and uniformly distributed particles.

15

Fig. 7 illustrates a casting produced by said apparatus from an alloy of Mg-30wt. %Zn. Testing confirms that the produced casting has lower porosity than that of conventional castings.

20 The embodiment may also contain a device attached to the feeder 20 to apply pressure to the liquid alloy for the supply of liquid alloy from feeder 20 to extruder 30 when the feeder 20 is positioned below the extruder 30. Such a pressure should be accurately controlled to ensure that the right amount of liquid alloy flows from feeder 20 to the extruder 30.

25

The embodiment may also contain a device attached to the feeder 20, extruder 30, shot assembly 40 and mould clamp 50 to supply protective gas in order to minimise oxidation. Such a gas may be argon, nitrogen or any other appropriate gas.

Generally, the rheomoulding system has a control device to control all functions. Preferably, the control device is programmable so that the desired solid volume in the semisolid state may be achieved easily. The control system (not shown in Fig 1) may, for example, comprise a microprocessor which may easily and quickly be reprogrammed to  
5 change the processing parameters.

### EXAMPLE

Industrially pure magnesium and zinc with >99% purity were used to form a Mg-  
10 30wt. %Zn melt in the furnace. The melt was kept in a graphite crucible at a predetermined temperature with 20°C overhead. The melt was then feed into the extruder at 410°C and sheared at a rate of 1000s<sup>-1</sup> for 20 seconds to convert the melt into a semisolid slurry. The semisolid slurry was then transferred into the shot assembly by opening the valve at one end of extruder and subsequently moving the piston forward to  
15 inject the semisolid slurry into the temperature controlled die. After it was completely cooled, the casting (Fig. 7) was released from the die. The sample was cut from casting and a standard metallograpical technique was used to grind and polish. Microstructural examination was carried out using optical microscope and the result was shown in Fig. 6, in which the particle is the primary phase solidified and sheared in the extruder.

20

While the particular embodiment according to the invention has been illustrated and described above, it will be clear that the invention can take a variety of forms and embodiments within the scope of the appended claims.



## CLAIMS

1. A method for forming a shaped component from liquid metal alloy, comprising the steps of:
  - 5 cooling the alloy to a temperature below its liquidus temperature whilst applying shear at a sufficiently high shear rate and intensity of turbulence to convert the alloy into its thixotropic state, and
  - subsequently transferring the alloy into a mould to form a shaped component, wherein shear is applied to the alloy by means of an extruder having at least two
  - 10 screws which are at least partially intermeshed.
2. A method as claimed in claim 1, wherein the screws are substantially fully intermeshed.
- 15 3. A method as claimed in claims 1 or 2, wherein the alloy is fed into the extruder at a temperature higher than its liquidus temperature.
4. A method as claimed in any preceding claim, wherein, prior to being transferred into the mould, the alloy is transferred into a shot assembly which injects the alloy into
- 20 the mould.
5. A method as claimed in any preceding claim, wherein the temperature of the alloy whilst it is being sheared is maintained between the liquidus and solidus temperatures of the alloy, such that the alloy is in a semisolid state.
- 25 6. A method as claimed in claim 5, wherein the solid volume fraction in the alloy whilst it is in the extruder is from 5 to 95%.
7. Apparatus for forming a shaped component from liquid metal alloy, comprising a
- 30 temperature-controlled extruder able to impart sufficient shear and intensity of turbulence

to a liquid metal alloy to convert it into its thixotropic state, a shot assembly in fluid communication with the extruder, and a mould in fluid communication with the shot assembly, wherein the extruder has at least two screws which are at least partially intermeshed.

5

8. Apparatus as claimed in claim 7, additionally comprising a feeder for feeding the liquid metal alloy into the extruder.

10

9. Apparatus as claimed in claim 8, wherein the feeder has means for containing and maintaining the alloy at a temperature above the liquidus temperature.

15

10. Apparatus as claimed in any of claims 7 to 9, wherein the extruder has a barrel and a pair of screws, the inner surface of said barrel and the outer surface of said screws are resistant to corrosion and erosion by liquid alloys, said screws each including a shaft having at least one vane thereon, said vane at least partially defining a helix around said shaft to propel the alloy through said barrel.

20

11. Apparatus as claimed in any of claims 7 to 10, having an electric or hydraulic motor for rotating said screws and shearing said alloy at a shear rate and intensity of turbulence sufficient to inhibit complete formation of dendritic structures therein while said alloy is in a semisolid state, the rotation of said screws by said electric or hydraulic motor also causing said alloy to be transported from one end to another end of said barrel.

25

12. Apparatus as claimed any of claims 7 to 11, including temperature controllable means for transferring heat to said extruder barrel, said screws and said alloy, such that said alloy is in a semisolid state and at a temperature between the liquidus and solidus temperatures of said alloy.

13. Apparatus as claimed in any of claims 7 to 12, including a control valve between the extruder and the shot assembly for discharging said alloy from said extruder to a shot sleeve in a cylinder-piston assembly.

5 14. Apparatus as claimed in any of claims 7 to 13, wherein the extruder barrel has an inner layer which is mechanically bonded to an outer layer of said barrel by shrink fitting.

10 15. Apparatus as claimed in any of claims 7 to 14, wherein said extruder barrel is a monolithic component formed from sialon ceramic.

16. Apparatus as claimed in any of claims 7 to 15, wherein all surfaces and the inner layer of said apparatus in contact with the semisolid alloy are formed from sialon ceramic.

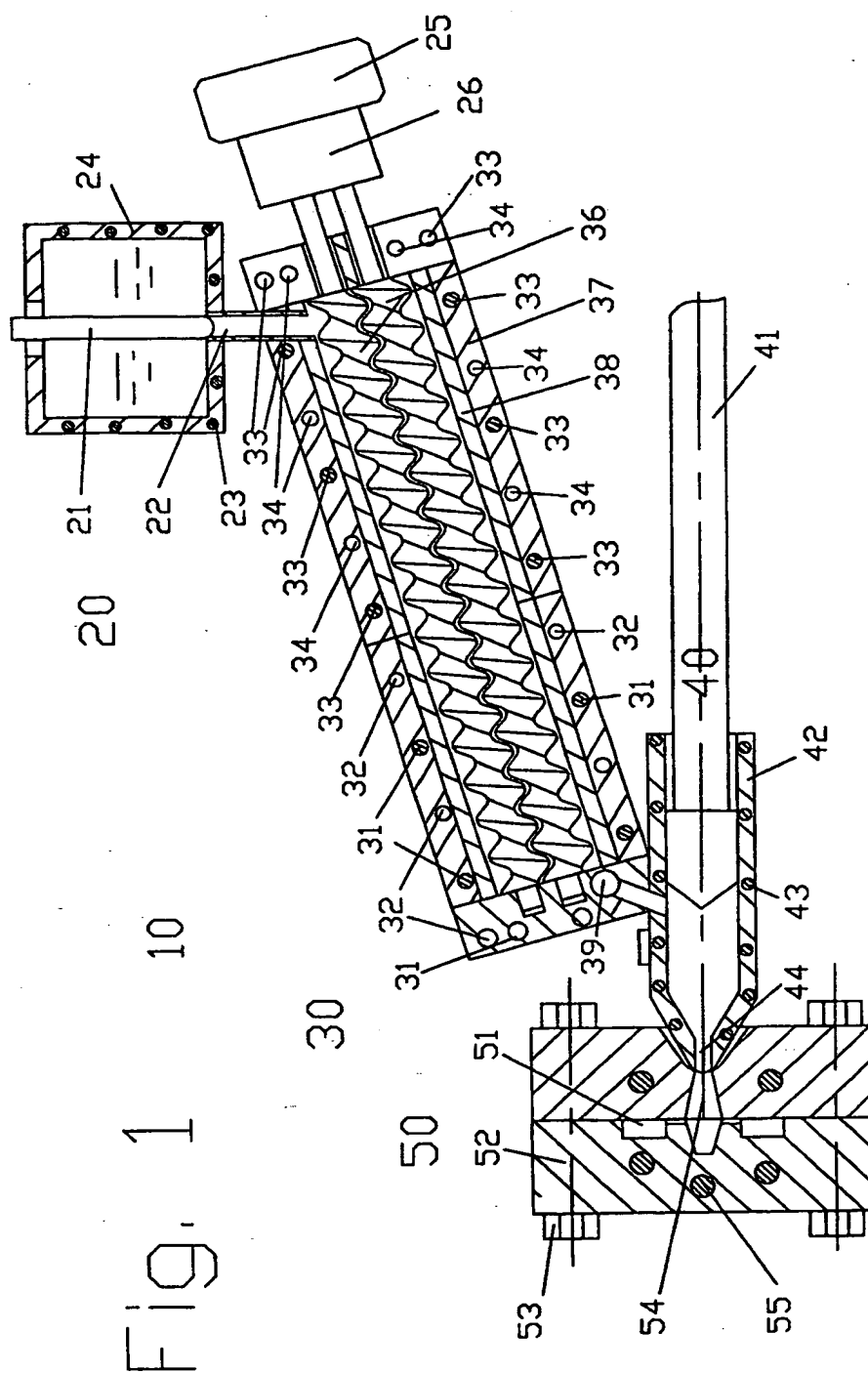
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17. Apparatus as claimed in any of claims 7 to 16 wherein said outer layer of said barrel is tool steel H11, H13 or H21.

20 18. Apparatus as claimed in any of claims 7 to 17, wherein said screw is mechanically bonded sialon screw sections by shrink fit.

19. Apparatus as claimed in any of claims 7 to 18, wherein said screw is a monolithic construction of sialon ceramic.

25 20. A method of forming a semisolid slurry from a liquid metal alloy, comprising the steps of cooling the alloy below its liquidus temperature whilst applying shear at a sufficiently high shear rate and intensity of turbulence to convert the alloy into its thixotropic state, wherein shear is applied to the alloy by means of an extruder having at least two screws which are at least partially intermeshed.



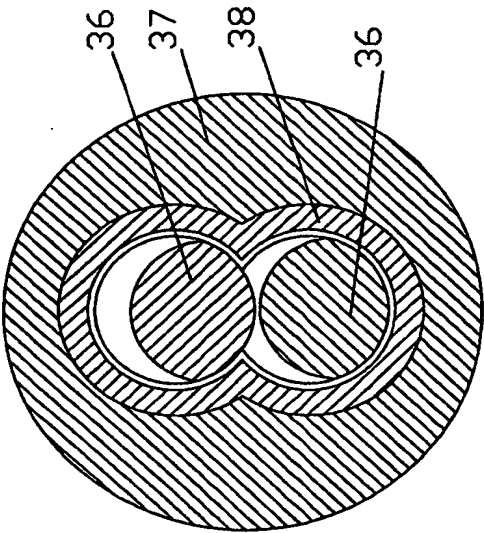


Fig. 2

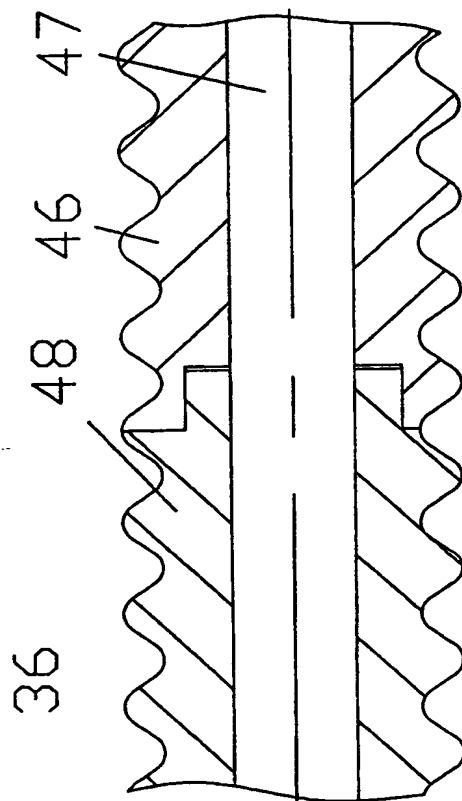


Fig. 3

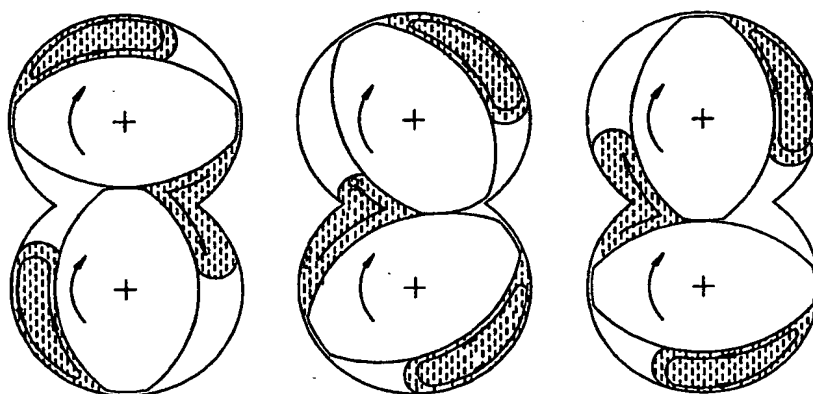


Fig. 4

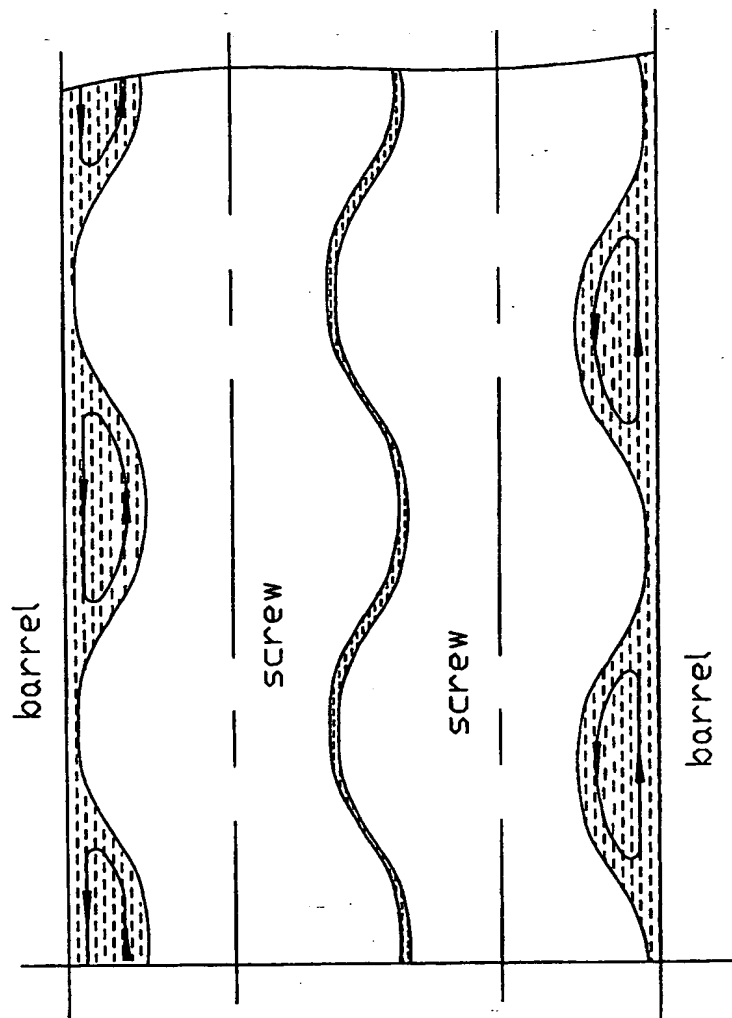


Fig. 5



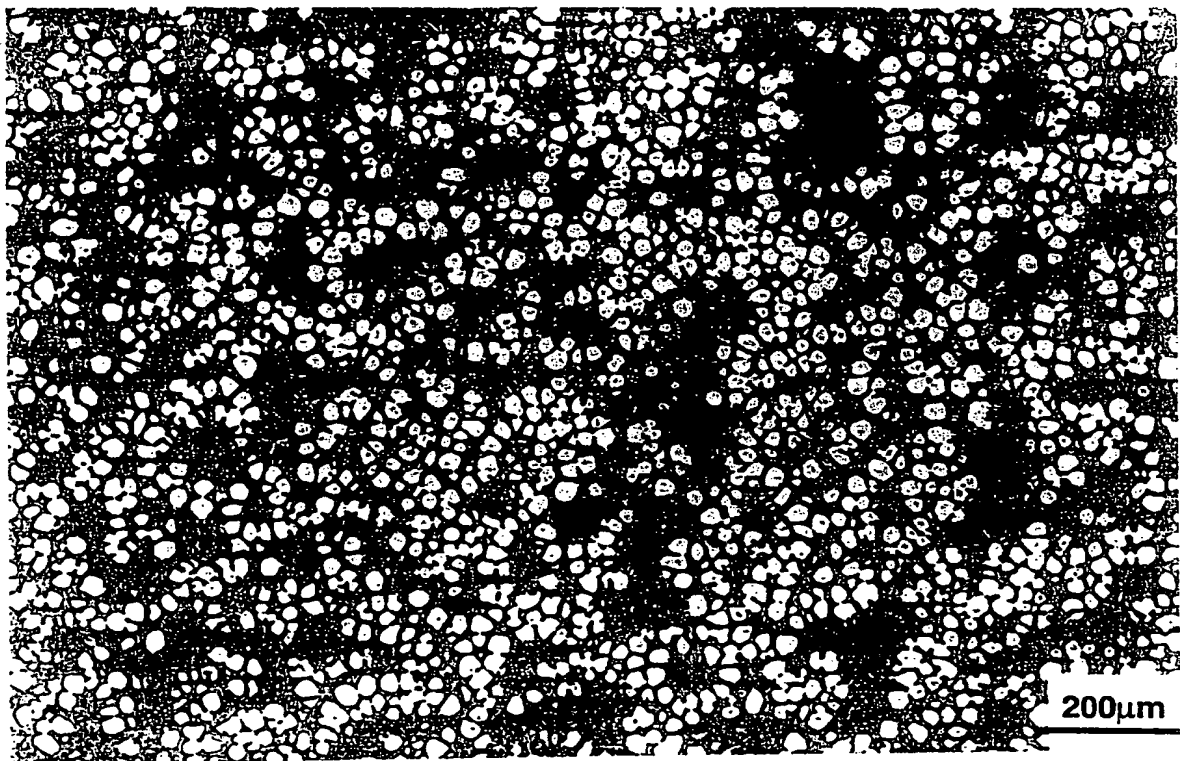


Fig. 6

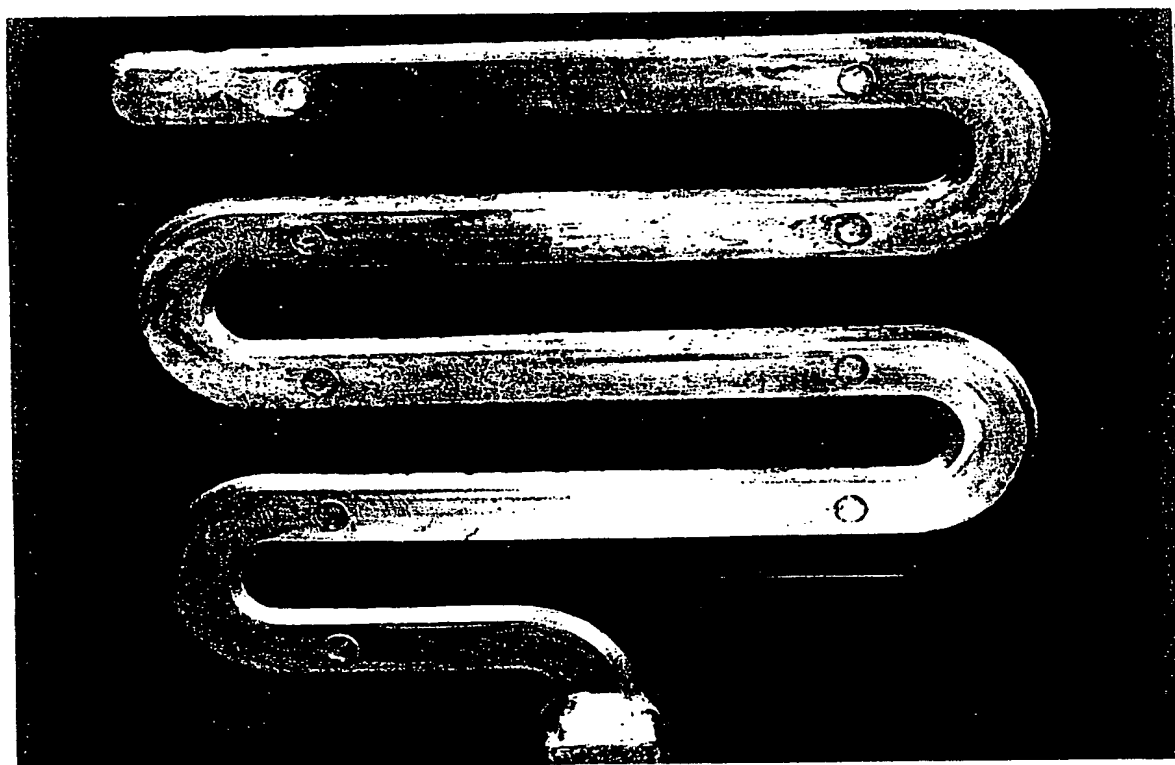


Fig. 7

# INTERNATIONAL SEARCH REPORT

International Application No

PCT/GB 00/03552

## A. CLASSIFICATION OF SUBJECT MATTER

IPC 7 B22D17/00 B22D17/18 B22D17/20

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 B22D

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

WPI Data, PAJ, EPO-Internal

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

| Category * | Citation of document, with indication, where appropriate, of the relevant passages   | Relevant to claim No. |
|------------|--|-----------------------|
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☒ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

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\*X\* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

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Date of the actual completion of the international search

28 November 2000

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## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

| Category * | Citation of document, with indication, where appropriate, of the relevant passages                               | Relevant to claim No. |
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information on patent family members

International Application No

PCT/GB 00/03552

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